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Frontal circulation and submesoscale variability during the formation of a

Southern Ocean mesoscale eddy

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ABSTRACT

18 Observations made in the Scotia Sea during the May 2015 Surface Mixed
19 Layer Evolution at Submesoscales (SMILES) research cruise captured sub-
20 mesoscale, $O(1-10\text{ km})$, variability along the periphery of a mesoscale $O(10-$
21 $100\text{ km})$ meander precisely as it separated from the Antarctic Circumpolar
22 Current (ACC) and formed a cyclonic eddy $\sim 120\text{ km}$ in diameter. The me-
23 ander developed in the Scotia Sea, an eddy-rich region east of the Drake Pas-
24 sage where the Subantarctic and Polar fronts converge and modifications of
25 Subantarctic mode water (SAMW) occur. *In situ* measurements reveal a rich
26 submesoscale structure of temperature and salinity and a loss of frontal in-
27 tegrity along the newly-formed southern sector of the eddy. A mathematical
28 framework is developed to estimate vertical velocity from co-located drifter
29 and horizontal water velocity time series, under certain simplifying assump-
30 tions appropriate for the current data set. Upwelling (downwelling) rates of
31 $O(100\text{ m day}^{-1})$ are found in the northern (southern) eddy sector. Favorable
32 conditions for submesoscale instabilities are found in the mixed layer, particu-
33 larly at the beginning of the survey in the vicinity of density fronts. Shallower
34 mixed layer depths and increased stratification are observed later in the survey
35 on the inner edge of the front. Evolution in T-S space indicates modification
36 of water mass properties in the upper 200 m over 2 days. Modifications along
37 $\sigma_\theta\ 27 - 27.2\text{ kg m}^{-3}$ have climate-related implications for mode and inter-
38 mediate water transformation in the Scotia Sea on finer spatiotemporal scales
39 than observed previously.

40 1. Introduction

41 The Southern Ocean hosts the most energetic current system in the world, the Antarctic Circum-
42 polar Current (ACC). Zonally unbounded by land, the ACC connects ocean basins and transports
43 an estimated 173 Sv through the Drake Passage (Donohue et al. 2016). The ACC is predominantly
44 in geostrophic balance with sea surface height (SSH) gradients and lateral density gradients, here-
45 after fronts. Large-scale instabilities in the balanced ACC flow cause mesoscale, $O(10-100\text{ km})$,
46 meanders and eddies in the Southern Ocean. While the rich mesoscale structure of the ACC has
47 been studied intensely, finer-scale variability along Southern Ocean fronts is less understood and
48 observed.

49 Two of the most prominent fronts in the Southern Ocean are the Subantarctic and Polar fronts
50 (hereafter, SAF and PF). Due to sparse data coverage in the Southern Ocean, altimetry-based
51 frontal definitions have been developed; $SSH_{SAF} = -0.25\text{ m}$ and $SSH_{PF} = -0.70\text{ m}$ are updated
52 values from Sallée et al. (2008). North of the SAF, water masses such as Subantarctic Mode
53 water (SAMW) and Antarctic Intermediate water (AAIW), subduct along isopycnals at specific
54 locations in the Southern Ocean, such as the Scotia Sea (Sallée et al. 2010). The subducted pools of
55 SAMW and AAIW observed north of the ACC contain high levels of anthropogenic CO_2 (Sabine
56 et al. 2004; Pardo et al. 2014) and heat (Frölicher et al. 2015). Currently, SAMW is thought to
57 be transformed by air-sea buoyancy fluxes (Cerovecki et al. 2013) and subsequently mixed and
58 subducted with AAIW, $\sigma_{\theta 27.2}$, to the South Atlantic (Sallée et al. 2010). In locations ‘upstream’
59 of the subducted SAMW/AAIW pools, mode water transformation occurs in the mixed layer at the
60 SAF and has climatic implications. The large-scale, $O(100-1000\text{ km})$, physical processes, such as
61 wind-driven and eddy-driven Ekman pumping, responsible for the subduction of heat and carbon
62 in SAMW/AAIW pools have been discussed and documented, e.g. Sallée et al. (2010, 2012), but

63 very little is known about subduction associated with smaller scales processes (Naveira Garabato
64 et al. 2001).

65 A potentially important class of dynamics responsible for modulating the vertical exchange at
66 fronts in the Southern Ocean occurs at the submesoscale, $O(1-10 \text{ km})$. The oceanic submesoscale
67 is instrumental in extracting energy from density fronts and transferring the energy from mesoscale
68 to submesoscale and dissipative scales (Thomas and Taylor 2010; Capet et al. 2008). The down-
69 scale transfer of energy results in ageostrophic motions with large vertical velocities, $O(100 \text{ m}$
70 $\text{day}^{-1})$ (Mahadevan and Tandon 2006; Capet et al. 2008; Thomas et al. 2008) capable of transport-
71 ing heat and tracers across the base of the mixed layer. Where energetic submesoscale processes
72 exist, the resulting vertical buoyancy fluxes may attain an importance equal to or greater than those
73 forced by air-sea exchange.

74 The presence of fronts preconditions the mixed layer to the development of submesoscale pro-
75 cesses, which are characterized by $O(1)$ Rossby (Ro) and balanced Richardson (Ri_B) numbers
76 (Thomas et al. 2008). Submesoscale dynamics are often associated with hydrodynamic instabil-
77 ities including baroclinic mixed layer instability (MLI), symmetric instability (SI), inertial insta-
78 bility (II) and gravitational instability (GI) (Haine and Marshall 1998; Fox-Kemper et al. 2008;
79 Thomas et al. 2008). These instabilities, with the exception of GI, grow at the expense of available
80 potential energy associated with lateral density gradients (MLI) or thermal wind kinetic energy (II
81 and SI). In each of these cases, instabilities are likely to develop at fronts and can significantly
82 modify the mixed layer density structure (Boccaletti et al. 2007; Hosegood et al. 2008; Taylor and
83 Ferrari 2009; Mahadevan et al. 2010). GI, conversely, is convectively-driven and generated by un-
84 stable vertical stratification. Mixing associated with GI leads to deeper mixed layers, while MLI
85 and SI results in restratification.

86 Sampling submesoscale processes presents challenges due to the complex dynamics of the
87 mixed layer and the short spatiotemporal scales of variability, from hours to days and meters
88 to kilometers. Very few submesoscale-resolving measurements have been made in the Southern
89 Ocean (Rocha et al. 2016), though a recent modeling study has demonstrated the dependence
90 of submesoscale vertical velocities on an energetic mesoscale eddy and strain field (Rosso et al.
91 2015). An energetic submesoscale is, therefore, expected in a region with high mesoscale EKE,
92 such as the Scotia Sea, a mesoscale eddy hot spot (Frenger et al. 2015). Large, high-*Ro* meanders
93 of the SAF and PF fronts (Figure 2) are indicative of a highly energetic mesoscale field in the
94 Scotia Sea region, suggesting the presence of a similarly energetic submesoscale field.

95 Here we present novel observations of submesoscale variability in the Southern Ocean
96 from the SMILES (Surface Mixed Layer Evolution at Submesoscales) project, [http://www.](http://www.smiles-project.org)
97 [smiles-project.org](http://www.smiles-project.org). SMILES aims to (1) characterize submesoscale dynamics and (2) evalu-
98 ate the role of submesoscales in mode water transformation in the Scotia Sea using a combination
99 of observations and models. The observational component of the SMILES project consists of a
100 single research cruise to the Scotia Sea in May 2015, just before the austral winter. During a
101 drifter-following cross-front survey, a northward meander of the SAF and PF (Figure 2) separated
102 from the ACC and formed a cold-core mesoscale eddy.

103 In this paper, we focus on the observed frontal circulation and submesoscale variability along
104 the periphery of the newly-formed eddy. Data sources and processing methods are described in
105 Section 2. Results from the drifter-following survey are presented as follows in Section 3: a)
106 eddy formation, b) frontal circulation, c) cross-frontal variability, and d) water mass modification.
107 Section 4 presents an estimation of vertical velocity and a submesoscale instability analysis with
108 implications for mode water modification. In Section 5, results are summarized and the implica-
109 tions of submesoscale processes during eddy formation in the Scotia Sea are discussed.

110 2. Data Sources and Methods

111 *Ship-based data sources*

112 The field component of the SMILES project consisted of a Scotia Sea research cruise, 22 April
113 - 21 May 2015, performed aboard the British Antarctic Survey RRS James Clark Ross (JCR).
114 Seasoar, a winged and towed body equipped with a Seabird-Electronics Inc. SBE911, collected
115 temperature, conductivity, and pressure measurements at 16 Hz. Seasoar data is collected in a
116 saw-tooth pattern (Figure 3) at 8 knots ($\sim 4 \text{ m s}^{-1}$) with a horizontal spacing between apogees
117 of 2 km for 200-m dives. Temperature and salinity variables were binned to 0.5 dbar intervals.
118 Binned data were gridded using a 2-dimensional Gaussian interpolation scheme (Barnes 1964)
119 with regular spacing, 0.5-km horizontal and 1-m vertical, and decorrelation radii of 1 km and 2 m
120 (Figure 3c).

121 Horizontal currents were collected in 8-m depth bins over 22 to 600 m of the water column
122 by the ship-mounted RDI Ocean Sciences 75-kHz acoustic doppler current profiler (ADCP). The
123 collected data was cleaned, corrected for ship speed and heading, and ensemble averaged to 150-
124 second bins using Common Ocean Data Access System (CODAS) processing tools. North and
125 east velocity components from 30 - 200 m were gridded to the same grid as the Seasoar data
126 then rotated into along-front and cross-front velocity components using the drifter trajectories
127 as explained below. Error velocities reported from the ADCP processing software are used as
128 estimates of velocity uncertainty in the calculations in Section 4a.

129 *Drogued drifters*

130 A triplet of drogued drifters was used in the survey to estimate horizontal water velocities at 50-
131 m depth. The drifters consisted of a sealed buoy with GPS and satellite communications, a ‘holey-

132 sock' drogue 10-m long and 90-cm in diameter centered at 50-m depth, and 3.5 mm Dyneema line.
133 This design provided a drag area ratio of 44 which is accurate to follow water parcels to within 1
134 cm s^{-1} (Sybrandy et al. 2009). Drifter location updates were received at 10-minute intervals.
135 The drifters were released in the northern portion of the meander just south of the maximum jet
136 velocity and temperature gradient (Figure 3a) for the first Seasoar leg of the survey. In a current
137 of $\sim 1.25 \text{ m s}^{-1}$, the three minute separation of the drifter releases yields an initial along-front
138 drifter separation of $\sim 225 \text{ m}$. The trajectory of the first drifter released, D16, was chosen to
139 define the along-front direction in the survey analysis, θ_{along} (Table 1). The along-front reference
140 frame assumes the drifter maintains its position in the front and jet, which is shown in Figure
141 4. The closest drifter crossing in time and space of each Seasoar leg defines the center of each
142 section, with cross-frontal distance increasing outward, or away, from the eddy center. Each leg
143 was rotated to a cross-front heading, θ_{cross} , defined as the orthogonal direction to θ_{along} for each
144 respective Seasoar leg (Table 1). Similarly, measured horizontal water velocities were rotated into
145 along-front and cross-front components for each leg.

146 *Remote data sources*

147 Satellite sea surface temperature (SST) and sea surface height (SSH) data were used for
148 mesoscale frontal and eddy detection during the cruise and the analysis. Both data sets are avail-
149 able daily on a 0.25° grid. Figure 2 is an example of the remote sensing data available during
150 the SMILES cruise. The daily, gridded optimally interpolated microwave SST data (OISST) was
151 obtained from Remote Sensing Systems, (<http://www.remss.com>). SSH, or absolute dynamic
152 topography, and altimetrically-derived geostrophic surface current data were downloaded from
153 AVISO Cnes (www.aviso.altimetry.fr) (Pujol et al. 2016). SAF and PF positions are defined

154 using SSH contours of -0.25 m and -0.7 m, respectively, updated from the definitions in Sallée
155 et al. (2008).

156 3. Results

157 *a. Eddy formation*

158 A northward meander of the Subantarctic front (SAF) and Polar front (PF) developed along
159 the Antarctic Circumpolar Current (ACC) (Figure 2) in late April 2015. This mesoscale, $O(100$
160 km), feature characterized by meridional changes of 4°C SST and 0.5-m SSH over 50 km, formed
161 just south of the North Scotia Ridge. Antarctic surface water, $<2^{\circ}\text{C}$ south of the PF (Orsi et al.
162 1995), is observed in the center of the meander. The vorticity Rossby number, $Ro = \zeta f^{-1}$, of
163 the meander as calculated from altimetry-derived geostrophic surface currents from 20 April is
164 ~ 0.4 . This moderate Ro value based on coarse altimetry data does not account for ageostrophic
165 contributions from curvature of the flow, e.g. cyclogeostrophic flow. Although the moderate Ro
166 estimate is high compared to previous submesoscale-focused process studies, e.g. $Ro \sim 0.1$ in the
167 North Pacific (Hosegood et al. 2013), it is not uncommon for this region.

168 A triplet of drogued drifters released in the northwest sector of the meander on 08 May 2015
169 20:00 GMT was followed with the RRS JCR while towing the Seasoar CTD perpendicular to
170 the drifter trajectories. The daily progression of SST, SSH, drifter trajectories and the ship track
171 are presented in Figure 4 for 8-12 May 2015. At the time of the drifter release, 18 days after
172 the SST and SSH observations presented in Figure 2, the meander had sharpened yet remained
173 tethered to the ACC as observed by SST and SSH fields, Figure 4a. During the survey, the drifters
174 initially traveled east (Figure 4b) and southeast (Figure 4c) around the meander and remarkably
175 continued along a cyclonic trajectory precisely as the meander separated from the ACC and formed

176 a cold closed-core eddy, Figure 4c-e. Initially, the cyclonic eddy measured approximately 120-
177 km in diameter with a dynamic height anomaly of 0.5 m (-0.2 to -0.7 m SSH). After the eddy
178 formed, Figure 4e, the SAF and PF returned to a zonal orientation south of the eddy. Hereafter,
179 the meander/eddy feature will be referred to as an eddy for the duration of the Seasoar survey.

180 The Seasoar survey, shown as the ship track in Figure 4, consisted of 25 sections around the
181 edge of the eddy ranging from 25 - 40 km in length. Maps of 10-m depth temperature and salinity
182 from these 25 sections are presented in Figure 5a-b. The beginning northern sector of the survey
183 is characterized by sharp temperature and salinity fronts (2°C , 0.2 psu in 2 km at 4-m depth) with
184 warm, salty water outside and cold, fresh waters inside the eddy. A region characterized by a loss
185 of temperature and salinity frontal integrity is observed along the southern portion of the survey.
186 The repeat observation of temperature and salinity intrusions in consecutive sections suggests the
187 presence of a 3-dimensional structure such as a submesoscale streamer or filament, only a few
188 kilometers across, in the newly-formed southern eddy sector. Note that the filaments occur in a
189 region that was previously an open meander characterized by weak lateral gradients in temperature
190 and salinity.

191 Horizontal water velocities measured at 50-m depth are included in Figure 5c-d where the along-
192 front and cross-front components are determined relative to a drifter trajectory direction (Table 1)
193 for each Seasoar section. A $\sim 70\%$ decrease (1.5 to 0.4 m s^{-1}) in drifter and along-front water
194 velocities is observed from the N to S legs. Geostrophic surface velocity vectors (Figure 4) also
195 show weaker currents in the southern portion of the eddy compared to the north. A sign change in
196 cross-frontal velocities on either side of the drifters indicates diffluent flow during the majority of
197 the survey with confluent cross-frontal flow in the southern portion of the survey.

198 The ageostrophic component of the curved flow around the eddy can be estimated from the
199 along-frontal velocities by comparing the centripetal acceleration term with the Coriolis acceler-

200 ation, $C = u(Rf)^{-1}$. Assuming an eddy radius, $R = 50$ km, C is maximum along the northern
201 portion of the survey (0.25) and minimum (0.10) in the southern eddy sector. This indicates a
202 larger cyclogeostrophic component to the flow in the north.

203 Wind forcing during the Seasoar survey was unusually calm for April in the Southern Ocean
204 with wind speeds $< 10 \text{ m s}^{-1}$ and winds from SE to NW rather than the expected westerlies.

205 A partial infrared SST image of the eddy was captured during the Seasoar survey by an AVHRR
206 sensor aboard the Metop-a satellite on 11 May 2015 at 12:42 GMT (Figure 6a). The high-
207 resolution (1 km) SST data show strong gradients along the northern eddy boundary and weaker
208 gradients to the southeast, similar to Figure 5a. Unfortunately, clouds mask the southern and
209 western sectors of the eddy. The ship's underway temperature data at 4-m depth is overlaid on
210 the infrared SST data in Figure 6b. The noticeable offset in temperatures is due to the northward
211 movement of the eddy in the 2.5 days between the beginning of the survey and the satellite mea-
212 surements. The ship's temperature data is also plotted atop optimally-interpolated microwave SST
213 data for 11 May 2015. The eddy boundary, defined by the 3°C isotherm in Figure 6a and c is
214 drastically different between the 1-km infrared and coarser microwave SST data.

215 *b. Cross-frontal variability*

216 Vertical cross-sections of potential density anomaly (σ_θ , kg m^{-3}), temperature ($^\circ\text{C}$), salinity, and
217 horizontal water velocities (m s^{-1}) are presented in Figure 7 for the Seasoar legs labeled in Figure 5.
218 The five sections span approximately two days and 180 degrees of heading of the drifter-following
219 survey. Each section is referenced in a similar manner with respect to the front; the left (right)
220 -hand side of the sections will be referred to as inner (outer) with negative (positive) cross-frontal
221 distance. Since the sections are centered using the drifter trajectories, a cross-frontal distance of
222 zero is not an explicit definition of the frontal center with respect to density.

223 In Leg N, σ_θ increases laterally away from the eddy core except for a dense filament ~ 5 km
224 in width located in the center of the leg (Figure 7a). The filament, with temperatures $< 1.5^\circ\text{C}$,
225 is observed between two outcropping isopycnals with a potential density anomaly of 27.0 kg m^{-3}
226 (hereafter $\sigma_{\theta 27}$). The inner density gradient, 0.09 kg m^{-3} in 5 km, is nearly twice the magnitude
227 of the warm, outer density front, 0.04 kg m^{-3} in 5 km. In Leg E, the $\sigma_{\theta 27}$ is observed subsurface.
228 By Leg S, the depth of the $\sigma_{\theta 27}$ is much shallower on the inner side of the leg.

229 Mixed layer depth, MLD, defined as the level of a 0.01 kg m^{-3} density increase from 5-m depth,
230 is included in Figure 7a. This strict MLD definition was chosen to highlight the lateral density
231 gradients in the current dataset. Values of MLD are $O(100 \text{ m})$ for most of Leg N. In each leg, the
232 mixed layer is shallowest within the density fronts, $< 50 \text{ m}$, and deepest within the dense filament
233 at 130 m. The MLD shoals similarly to $\sigma_{\theta 27}$ in Leg S, suggestive of restratification of the inner
234 front along the newly-formed sector of the eddy. The shallower MLD may be the result of temporal
235 variability, e.g., restratification from submesoscale instabilities, or spatial variability.

236 Temperature and salinity fields vary similarly across the sections, Figure 7b-c, due to strong
237 density compensation, characteristic of ACC fronts. In Leg N, the warm, salty outer region lies
238 adjacent to a cold, dense filament at a cross-front distance of 0 km. Leg E, in the east sector of
239 the survey, contains a small subsurface cold water intrusion at 120-m depth and 10-km cross-front
240 distance. Intrusions of cold, fresh water on the outer side and warm, salty water on the inner
241 side are observed in all legs collected in the east and southeast sectors of the survey. In leg SE,
242 the intrusion is larger in vertical and horizontal extent and outcropped. In Leg S a loss of frontal
243 integrity is observed compared to the well-organized, separated cold-fresh inner and warm-salty
244 outer regions present in Leg N.

245 Vertical cross-sections of along-front and cross-front velocities, Figure 7d-e, show a strong
246 barotropic component to the flow. Trends throughout the survey agree with the 50-m maps in

247 Figure 5c-d. Along-front velocities decrease whereas cross-front velocities switch from confluent
248 to diffluent from Legs N to S.

249 *c. Frontal circulation*

250 The frontal circulation at the center of each Seasoar leg can be described using the co-located
251 drifter and horizontal water velocity datasets. As shown in Figure 8a, the drifter and along-front
252 water velocities at 50-m depth are in strong agreement. Drifters initially deployed in the northern
253 sector of the cyclonic eddy decelerated around the eastern side toward the southern sector where
254 the along-front velocity is minimum, after which the drifters accelerated around the western edge.
255 Similar trends were observed in the measured along-front velocity. The cross-frontal gradient
256 of cross-frontal velocity, was positive (diffluent) during the along-front deceleration and negative
257 (confluent) during the along-front acceleration as shown in (Figure 8b).

258 **4. Analysis**

259 *a. Estimation of vertical velocity*

260 Vertical velocities, w , were not directly measured in the SMILES Seasoar survey. However,
261 the co-located drifter and ADCP datasets allow for the following mathematical framework which
262 yields a solvable expression for w at a specific depth and a cross-frontal location in each Seasoar
263 leg. Assumptions made in the following derivation are tested in Appendix A.

264 Let $\mathbf{x}_D(t)$, and $\mathbf{u}_D(t)$ be the measured drifter position and velocity vectors at time t where

$$\left(\frac{dx_D}{dt}, \frac{dy_D}{dt} \right) = \left(u_D(t), v_D(t) \right). \quad (1)$$

Let $\mathbf{u}_E(x, y, z, t)$ be the Eulerian fluid velocity. Assume that the drifter moves with the vertically averaged Eulerian velocity at the horizontal location of the drogue from depth z_1 to z_2

$$\frac{d\mathbf{u}_D}{dt} = \frac{d}{dt} \left[\bar{\mathbf{u}}_E(x_D(t), y_D(t), t) \right] \quad (2)$$

where

$$\bar{\mathbf{u}}_E \equiv \frac{1}{z_1 - z_2} \int_{z_2}^{z_1} \mathbf{u}_E dz. \quad (3)$$

Here we set $z_1 = 0$ at the surface and $z_2 = 50$ m, the drifter drogue depth. This assumes the drogued drifter is moving with the depth-integrated Eulerian velocity in the top 50-m of the water column. Justification for this assumption is presented in the Appendix. Expanding the derivative in (2),

$$\frac{d\mathbf{u}_D}{dt} = \frac{\partial \bar{\mathbf{u}}_E}{\partial t} + \frac{dx_D}{dt} \frac{\partial \bar{\mathbf{u}}_E}{\partial x} + \frac{dy_D}{dt} \frac{\partial \bar{\mathbf{u}}_E}{\partial y} = \frac{\partial \bar{\mathbf{u}}_E}{\partial t} + \bar{\mathbf{u}}_E \cdot \nabla_H \bar{\mathbf{u}}_E. \quad (4)$$

where $\nabla_H \equiv (\frac{\partial}{\partial x}, \frac{\partial}{\partial y})$. From continuity, $\nabla \cdot \mathbf{u}_E \equiv 0$ hence $\nabla \cdot \bar{\mathbf{u}}_E = 0$ for constant z_1 and z_2 ,

$$\frac{\partial \bar{u}_E}{\partial x} + \frac{\partial \bar{v}_E}{\partial y} + \frac{w_E(x_D, y_D, z_1, t) - w_E(x_D, y_D, z_2, t)}{z_1 - z_2} = 0. \quad (5)$$

From (4), the rate of change of the along-front drifter velocity is

$$\frac{du_D}{dt} = \frac{\partial \bar{u}_E}{\partial t} + \bar{u}_E \frac{\partial \bar{u}_E}{\partial x} + \bar{v}_E \frac{\partial \bar{u}_E}{\partial y} \quad (6)$$

while (5) gives

$$\frac{\partial \bar{u}_E}{\partial x} = -\frac{\partial \bar{v}_E}{\partial y} - \frac{w_E|_{z_1} - w_E|_{z_2}}{z_1 - z_2}. \quad (7)$$

Substituting (7) in (6) gives

$$\frac{du_D}{dt} = \frac{\partial \bar{u}_E}{\partial t} - \bar{u}_E \frac{\partial \bar{v}_E}{\partial y} - \bar{u}_E \frac{w_E|_{z_1} - w_E|_{z_2}}{z_1 - z_2} + \bar{v}_E \frac{\partial \bar{u}_E}{\partial y}. \quad (8)$$

Re-arranging (8) yields an expression for the difference of vertical velocity from z_1 to z_2

$$w_E|_{z_1} - w_E|_{z_2} = (z_1 - z_2) \left[-\frac{1}{\bar{u}_E} \frac{du_D}{dt} + \frac{1}{\bar{u}_E} \frac{\partial \bar{u}_E}{\partial t} - \frac{\partial \bar{v}_E}{\partial y} + \frac{\bar{v}_E}{\bar{u}_E} \frac{\partial \bar{u}_E}{\partial y} \right]. \quad (9)$$

276 An expression for w_E at the drogue depth, z_2 , is obtained by setting $w_E(z_1 = 0) = 0$,

$$w_E|_{z_2} = z_2 \left[\frac{1}{\bar{u}_E} \frac{du_D}{dt} - \frac{1}{\bar{u}_E} \frac{\partial \bar{u}_E}{\partial t} + \frac{\partial \bar{v}_E}{\partial y} - \frac{\bar{v}_E}{\bar{u}_E} \frac{\partial \bar{u}_E}{\partial y} \right]. \quad (10)$$

277 We can make a steady-state assumption,

$$\frac{\partial \bar{u}_E}{\partial t} \ll \frac{du_D}{dt}, \quad (11)$$

278 if local accelerations are smaller than drifter accelerations on time scales greater than a day, the
 279 filtering window of the drifter velocities. This is tested in the Appendix making use of ship track
 280 intersections during the survey. We then have an expression,

$$w_E|_{z_2} = z_2 \left[\frac{1}{\bar{u}_E} \frac{du_D}{dt} + \frac{\partial \bar{v}_E}{\partial y} - \frac{\bar{v}_E}{\bar{u}_E} \frac{\partial \bar{u}_E}{\partial y} \right], \quad (12)$$

281 that allows for the calculation of vertical velocity in the center of each Seasoar leg at z_2 , the
 282 drifter drogue depth of 50 m, (Figure 8d). Velocity components \bar{u}_E and \bar{v}_E are first calculated by
 283 averaging velocities from the first good ADCP bin, 30-m, to 50-m, as in Figure 8a. Extrapolations
 284 to the surface are used to approximate \bar{u}_E from $z = 0$ to 50 m, as detailed in the appendix. The
 285 cross-frontal velocity gradients, $\frac{\partial \bar{u}_E}{\partial y}$ and $\frac{\partial \bar{v}_E}{\partial y}$, are averaged +/- 1 km from the center of each Seasoar
 286 leg (Figure 8b). Error velocities reported by the ADCP processing and propagated through the w
 287 calculation are shown as error bars in Figure 8d.

288 Vertical velocities calculated from (12) are presented in Figure 8d with negative (positive) values
 289 during the N-SE (S) eddy survey sectors. Upwelling velocities are calculated during the N, NE
 290 and E sectors of the survey, when diffuent cross-front flow and drifter deceleration is observed.
 291 Subduction is indicated in the southern survey sector when drifters accelerated. There is a strong
 292 dependence on $\frac{\partial \bar{v}_E}{\partial y}$ in our calculation indicating the cross-frontal flow is related to the vertical cir-
 293 culation. The estimated magnitudes of $w_E|_{50m}$, $O(100 \text{ m day}^{-1})$, are similar to reported values for

submesoscale processes, however, we can not discern the relative contributions of the mesoscale and submesoscale vertical motions here.

b. Submesoscale instabilities

Although direct measurements of submesoscale instabilities were not made during the Seasoar survey, it is possible to diagnose whether conditions were favorable for submesoscale instability growth and which specific instabilities were possible (Thomas et al. 2013; Thompson et al. 2016). First, instability development is favored when Ertel potential vorticity (EPV),

$$EPV = \omega_a \cdot \nabla b = (\mathbf{f} + \nabla \times \mathbf{u}) \cdot \nabla b, \quad (13)$$

is the opposite sign of f (Hoskins 1974; Haine and Marshall 1998; Thomas et al. 2008); the absolute vorticity, ω_a , is the sum of planetary and relative vorticity and buoyancy is $b = -g\rho'/\rho_0^{-1}$. The perturbation density, ρ' , is the measured density, ρ , minus the average leg density, ρ_0 . This EPV criterion has been shown to hold even in flow regimes where ageostrophic processes such as down-front winds (Thomas and Taylor 2010; Thomas et al. 2013), inertial shear (Thomas et al. 2016) and surface-wave driven shear (Haney et al. 2015) drive symmetric instability.

Expanding (13) gives

$$EPV = (w_y - v_z)b_x + (u_z - w_x)b_y + [f + (v_x - u_y)]b_z, \quad (14)$$

where subscripts indicate a partial derivative and x and y are the along-front and cross-front directions. Neglecting ∂x terms in (14) assumes along-front gradients \ll cross-front gradients. This simplification yields,

$$EPV \simeq (f - u_y)b_z + u_z b_y, \quad (15)$$

an approximation for EPV dependent on cross-front and vertical gradients in the along-front velocity and buoyancy. The 2-dimensional approximation of EPV (15) is shown in Figure 9 below the

cross-frontal buoyancy gradient at 10-m depth which identifies density fronts in each leg. Regions with positive EPV ($f < 0$) are favorable for the instabilities described above and are observed on either side of the lateral buoyancy gradients, or fronts, and mostly above the MLD. The band of negative EPV in each leg is stable to instabilities due to the strong vertical stratification, b_z , of the ML base.

The EPV calculation in (15), expressed in the local Cartesian coordinate system for each Seasoar leg, neglects effects due to the curved flow around the eddy. We now consider EPV in cylindrical coordinates (Shakespeare 2016),

$$EPV_{cyl} = (f + u_{\theta r} + u_{\theta} R^{-1}) b_z - u_{\theta z} b_r, \quad (16)$$

where R is the curvature of the flow and the azimuthal velocity, u_{θ} , is negative in a clockwise (cyclonic) rotational sense. The curvature term, $u_{\theta} R^{-1} b_z$, is negative in stably stratified conditions ($b_z > 0$) and, therefore will decrease EPV from the estimate in (15). The EPV calculation was repeated using (16) for legs N-S using an eddy radius $R = 50$ km (not shown). The average percent difference in Leg N (Leg S) is 23% (7%), however the inclusion of the curvature term has an indiscernible change on the EPV panels in Figure 9b. The number of locations with EPV > 0 decreased by 0.75% (Leg N) - 0.15% (Leg S). Therefore, the total EPV is slightly lower when curvature effects are considered. This could result in a slight overestimation in the number of locations identified as favorable for inertial and symmetric instabilities below.

For regions where EPV is positive ($f < 0$) specific submesoscale instabilities can be identified using the balanced Richardson number, $Ri_B = f^2 b_z^2 b_y^{-4}$. The criteria presented in Thomas et al. (2013) classifies gravitational ($Ri_B < -1$), mixed gravitational-symmetric ($-1 < Ri_B < 0$), symmetric ($0 < Ri_B < 1$ for $Ro_g < 0$ and $0 < Ri_B < Ro_g^{-1}$ for $Ro_g > 0$), and inertial ($1 < Ri_B < Ro_g^{-1}$ for $Ro_g < 0$) instabilities, as well as stable portions of the water column, $Ri_B > Ro_g^{-1}$. The geostrophic

335 Rossby number, $Ro_g = \zeta_g f^{-1} \simeq -\frac{\partial u_g}{\partial y} f^{-1}$, is computed using the geostrophic along-front velocity,
 336 u_g , rather than the measured (Thompson et al. 2016). Locations favored for specific submesoscale
 337 instabilities as diagnosed by Ri_B are presented in Figure 9c.

338 Throughout the survey, the mixed layer was consistently more susceptible to submesoscale in-
 339 stabilities than the deep, stable regions where $EPV < 0$. Gravitational instability is most likely early
 340 in the survey and away from density fronts where MLD are large. The criteria for mixed and sym-
 341 metric instabilities are met within density fronts in Legs N - E. Conditions conducive for inertial,
 342 or centrifugal, instability are located on the outer (right-hand) side with $Ro_g = \zeta_g f^{-1} < 0$.

343 Regions where conditions are conducive to the development of submesoscale instabilities are
 344 shown as a fraction of the mixed layer in Figure 9d. There is a general decrease between the N
 345 and S legs, indicating a greater proportion of the ML is more prone to instabilities earlier in the
 346 survey versus in the legs collected in the southern sector of the eddy. Throughout the survey, the
 347 majority of the instability indications are for gravitational with conditions favorable for symmetric
 348 or mixed gravitational and symmetric concentrated near lateral density gradients.

349 *c. Water mass modification*

350 The sharp temperature and salinity fronts across the eddy boundary indicate the presence of dif-
 351 ferent water masses. T-S histograms for Seasoar sections N-S, Figure 10a, show the prevalence of
 352 measurements in 0.15°C and 0.015 salinity bins. In Leg N the T-S measurements largely populate
 353 two separate regions in T-S space, with cold, fresh inner waters in the bottom left of the diagram
 354 and the warm, salty (spicy) outer region measurements in the top right. The two regions in T-S
 355 space are connected via $\sigma_{\theta 27}$, the isopycnal that outcrops on either side of the dense filament at the
 356 front center in Leg N, previously presented in Figure 7. A similar connection along deeper isopy-

357 cnals, such as $\sigma_{\theta 27.2}$, is not observed in Leg N (Figure 10). This is due to an unequal isopycnal
358 upheaval across the Seasoar leg and the 200-m depth limit of the dataset.

359 A cross-front exchange is observed in Legs NE-E as cool, fresh measurements $\sigma_{\theta 27 - 27.2}$ extend
360 into warmer and saltier T-S space. By Leg S, the T-S space is fully populated indicating mixing
361 or advection of new water masses, not previously observed at the start of the survey. Locations
362 previously identified as susceptible to submesoscale instabilities in Section 4b are shown in T-S
363 space (Figure 10b). Instabilities are mostly favored along the $\sigma_{\theta 27}$, supporting an along isopycnal
364 exchange across the frontal region. The exchange or modification along $\sigma_{\theta 27.1 - 27.2}$ suggests that
365 water mass properties below the MLD are also affected on timescales of $O(1 \text{ day})$ and horizontal
366 length scales of $O(1-10 \text{ km})$ during the formation of this mesoscale eddy.

367 5. Discussion & Summary

368 Here we have presented high-resolution observations across the ACC as a cyclonic eddy formed
369 in the Scotia Sea. The novel observations reveal submesoscale frontal variability and two distinct
370 dynamic regimes along the periphery of the eddy as depicted in Figure 11.

371 In the northern to eastern regime of the survey, diffluent flow and deceleration were observed
372 in the cross-front and along-front directions, respectively. Along the newly-formed southern edge
373 of the eddy, along-front acceleration and cross-front confluent flow is observed coincident with a
374 complex T-S structure, similar to submesoscale features found in other studies, e.g. filaments and
375 streamers (Gula et al. 2014; Klymak et al. 2016). A submesoscale instability analysis identified
376 regions across each cross-frontal section prone to the development of gravitational, mixed, sym-
377 metric and inertial instabilities. Favorable conditions for mixed and symmetric instabilities were
378 found near large cross-frontal density gradients in the mixed layer throughout the survey. Despite
379 the loss of frontal integrity observed in the southern regime, the eddy discussed here maintained a

380 distinct signature in SST and SSH over the following two months as evidenced by remote sensing
381 imagery.

382 The Scotia Sea hosts an especially high abundance of mesoscale eddies (Frenger et al. 2015) in
383 the eddy-rich Southern Ocean. Eddy kinetic energy (EKE) in this region, calculated from time-
384 mean removed, altimetry-derived geostrophic surface currents (AVISO; 1993-2015) is $O(0.1 - 1$
385 $\text{m}^2 \text{s}^{-2})$. Recent submesoscale-resolving modeling results indicate a strong correlation between
386 mesoscale EKE and submesoscale vertical velocity in the Southern Ocean (Rosso et al. 2015)
387 implicating a downscale energy transfer. Although the Scotia Sea EKE values and w estimates
388 presented here are much higher than the domain-averaged magnitudes reported in Rosso et al.
389 (2015), the trend of high EKE and high w is consistent.

390 The strong vertical circulation found at the SAF, suggests that submesoscale processes might
391 be critical in transforming and subducting mode and intermediate waters, although such processes
392 have been mostly ignored in previous studies. Water mass properties across the frontal region were
393 initially observed as a cold, fresh eddy region and a warm, salty outer region. The rapid spread in
394 T-S space suggests mixing occurred during the eddy formation. Enhanced vertical circulation and
395 mixing, prompted by submesoscale processes, have the potential to transform mode and interme-
396 diate water density classes and contribute to the uptake of anthropogenic heat and carbon to the
397 Southern Ocean. A quantification of the net water-mass subduction associated with the observed
398 circulation will be part of a future study.

399 Cyclonic mesoscale eddies have been observed with high chlorophyll signatures in the Scotia
400 Sea (Kahru et al. 2007), implicating their importance on primary production in the region. Studies
401 resolving submesoscale dynamics in mesoscale eddies have shown that strong vertical velocities,
402 like those presented here, may drive the vertical exchange in the upper ocean with important effects
403 on nutrient supply to the photic zone (Lévy et al. 2001; Mahadevan et al. 2008; Lévy et al. 2012;

404 Mahadevan 2016). The biogeochemical responses within the eddy observed during the SMILES
405 cruise are a focus of a future study.

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416 APPENDIX

417 The derivation of the vertical velocity presented in Section 4a requires two key assumptions. The
418 first relates to the depth range over which the drifter acceleration is valid, and the second requires
419 that the local Eulerian acceleration is much smaller than the drifter acceleration. Both assump-
420 tions have critical implications for the estimate of vertical velocity and we thus expand on the
421 justification for making these assumptions below.

422 *Assumption in (2):* $\frac{d\mathbf{u}_D}{dt} = \frac{d}{dt} \left[\bar{\mathbf{u}}_E(x_D(t), y_D(t), t) \right]$

423 The assumption presented in (2) sets the drifter acceleration equal to the depth-averaged
424 Eulerian acceleration from the drogue depth of 50 m to the surface. If the drifter has a sufficient
425 drag ratio (see Section 2), this assumption is justified and $u_D \sim \bar{u}_E$. A comparison of u_D and

the \bar{u}_E from 30 - 50 m, presented in Figure A1a, shows very strong agreement. Due to the blanking distance of the 75 kHz ADCP, measurements of u_E are only available for depths below 30 m. Slab and linear extrapolations from 30 m to the surface are used to approximate \bar{u}_E from 0 to 50 m. The depth-integrated 30-50 m Eulerian velocities and depth-integrated extrapolated 0-50 m Eulerian velocities are compared with the drifter velocities in Figure A1b and A1c. The slab-extrapolated approximation is a better fit than the linear extrapolation. The calculation of vertical velocity in Section 4a is carried out with both extrapolation approximations of u_E (Figure 8d).

Assumption in (11): $\frac{\partial \bar{u}_E}{\partial t} \ll \frac{du_D}{dt}$

The steady state assumption in (11) requires the local Eulerian acceleration, $\frac{\partial \bar{u}_E}{\partial t}$, in the top 50 m to be much smaller than the drifter acceleration. To check this assumption, we calculate $\frac{\partial \bar{u}_E}{\partial t}$ from ship track intersections during the Seasoar survey, e.g., $\frac{\partial \bar{u}_E}{\partial t} \simeq \frac{u_E(t_2) - u_E(t_1)}{t_2 - t_1}$ where t_1 and t_2 are times corresponding to the ADCP measurements for a given ship track intersection. Measurement pairs are matched if separated by less than 250 m horizontally and more than 1 day in time. The time separation criteria is consistent with the filtering window of the drifter velocities. Nine pairs of measurements were found and used to estimate the local Eulerian acceleration, $\frac{\partial \bar{u}_E}{\partial t}$, depth-averaged over 30 to 50 m. Locations of the measurement pairs are shown in Figure A2. The average $\frac{\partial \bar{u}_E}{\partial t}$, $-1.3 \pm 1.2 \times 10^{-6} \text{ (m s}^{-2}\text{)}$ is plotted alongside the drifter acceleration time series during the survey. The steady state assumption, (11) in Section 4a, holds for most Seasoar legs where drifter accelerations are larger than the average Eulerian acceleration estimate. In the NE sector of the eddy and a few southern eddy legs, this is not true and the steady state assumption cannot be made from this ship intersection estimate alone.

448 Additionally, $\frac{\partial \bar{u}_E}{\partial t}$ is estimated from altimeter-derived geostrophic surface currents (not shown).
449 The average values for the survey region are $0.04 \pm 0.02 \text{ m s}^{-1}$ per day which is an order of
450 magnitude smaller than the average measured drifter accelerations, $0.49 \pm 0.29 \text{ m s}^{-1}$ per day)
451 and the opposite sign as an expected change in along-front velocity due to the cyclonic eddy
452 rotation.

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Leg	θ_{along}	θ_{cross}	θ_{leg}	θ_{rot}	$\cos(\theta_{rot})$
13 (N)	74.5	344.5	340.4	4.1	1.00
14	83.7	353.7	344.1	9.6	0.99
17	109.5	19.5	343.7	35.8	0.81
19	143.3	53.4	42.1	11.2	0.98
20 (NE)	157.6	67.6	52.8	14.8	0.97
21	179.2	89.3	51.2	38.1	0.79
22	200.5	110.5	101.1	9.4	0.99
23	200.5	110.5	127.2	-16.7	0.96
24	202.1	112.1	119.3	-7.1	0.99
25	206.4	116.4	131.9	-15.5	0.96
26 (E)	209.5	119.5	132.9	-13.4	0.97
27	215.7	125.7	137.7	-12.1	0.98
28	216.0	126.0	121.9	4.1	1.00
29 (SE)	214.8	124.8	119.4	5.4	1.00
32	232.1	142.1	169.6	-27.5	0.89
33	240.7	150.7	170.2	-19.4	0.94
35 (S)	284.2	194.2	179.9	14.3	0.97
36	296.8	206.8	179.4	27.4	0.89
37	296.5	206.5	179.8	26.7	0.89
38	305.8	215.8	179.4	36.3	0.81
39	309.2	219.2	180.1	39.1	0.78
40	311.5	221.5	189.8	31.7	0.85
41	26.0	296.0	325.4	-29.4	0.87
43	53.1	323.1	1.7	38.6	0.78
44	71.2	341.2	345.1	-3.9	1.00

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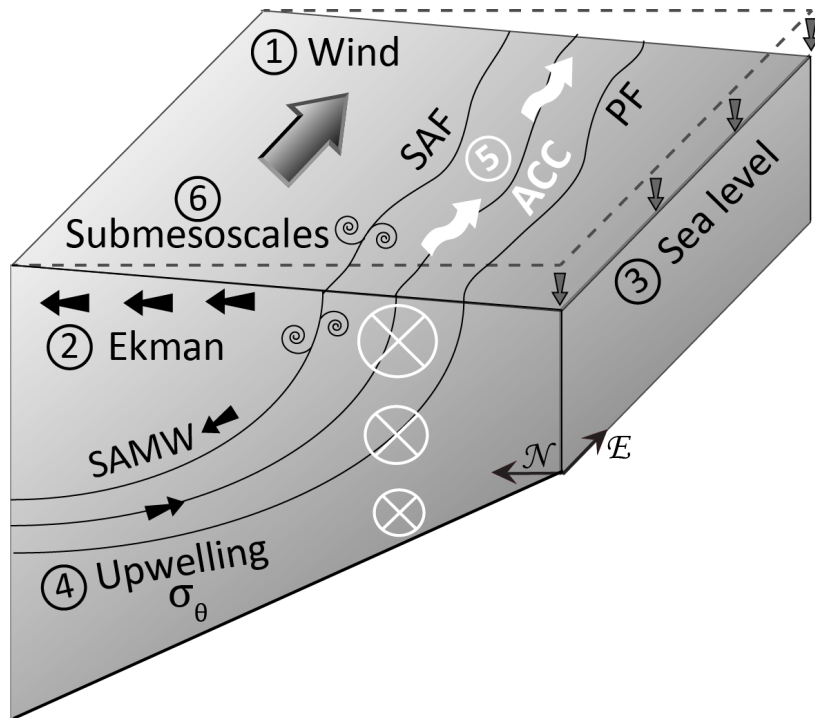


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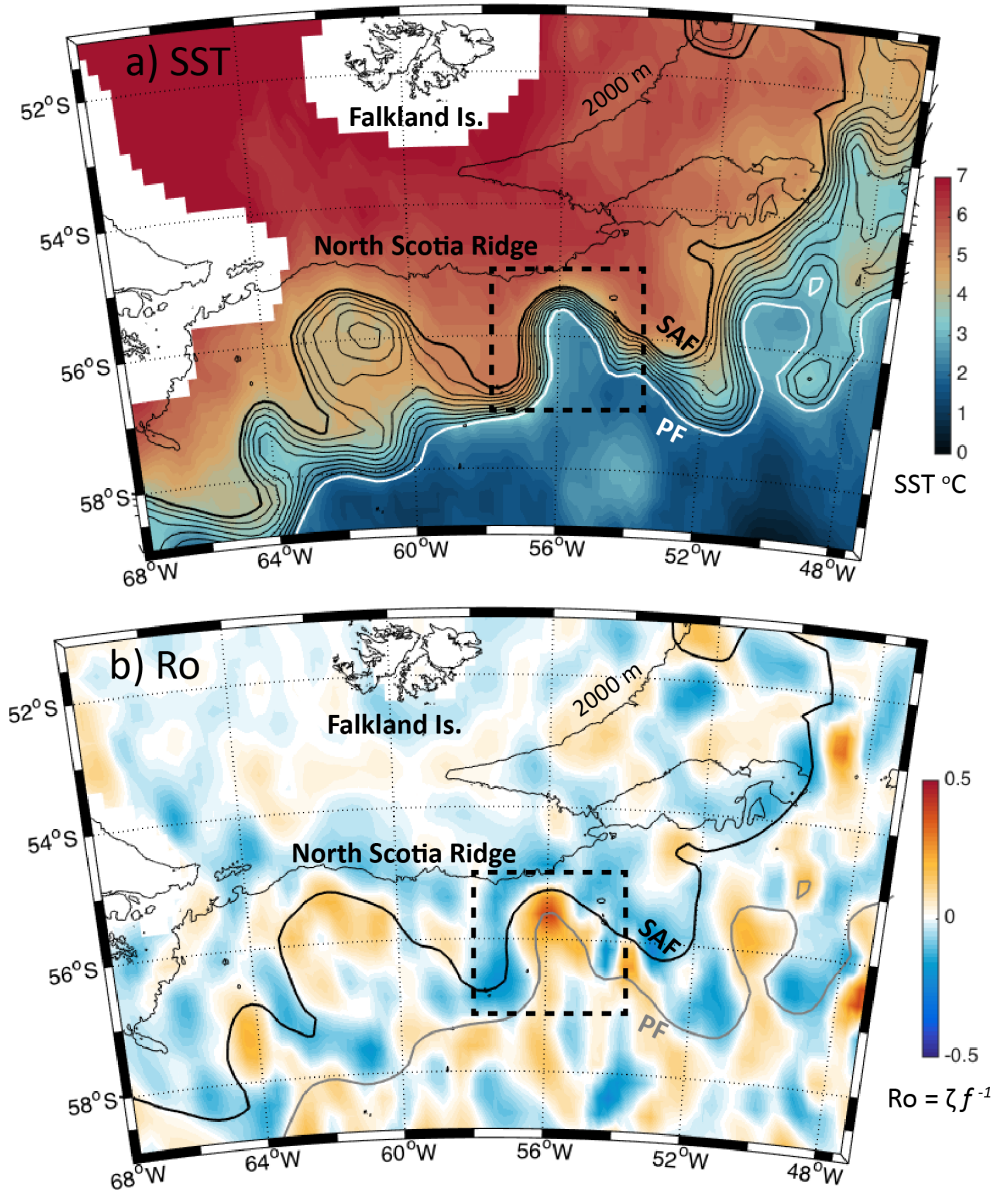


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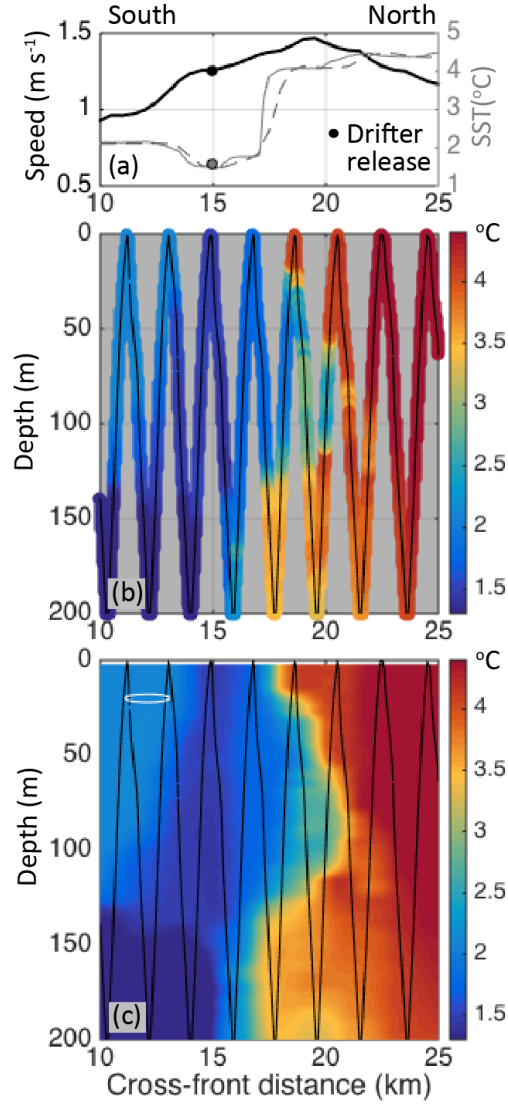


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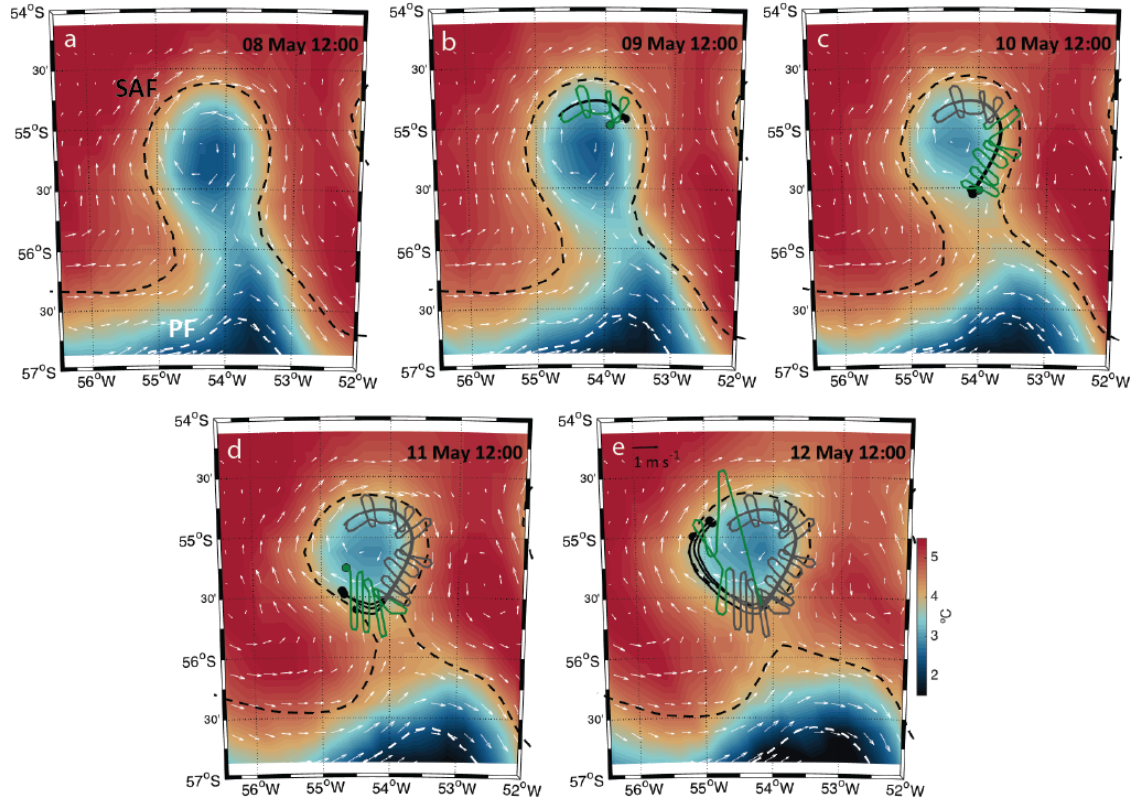


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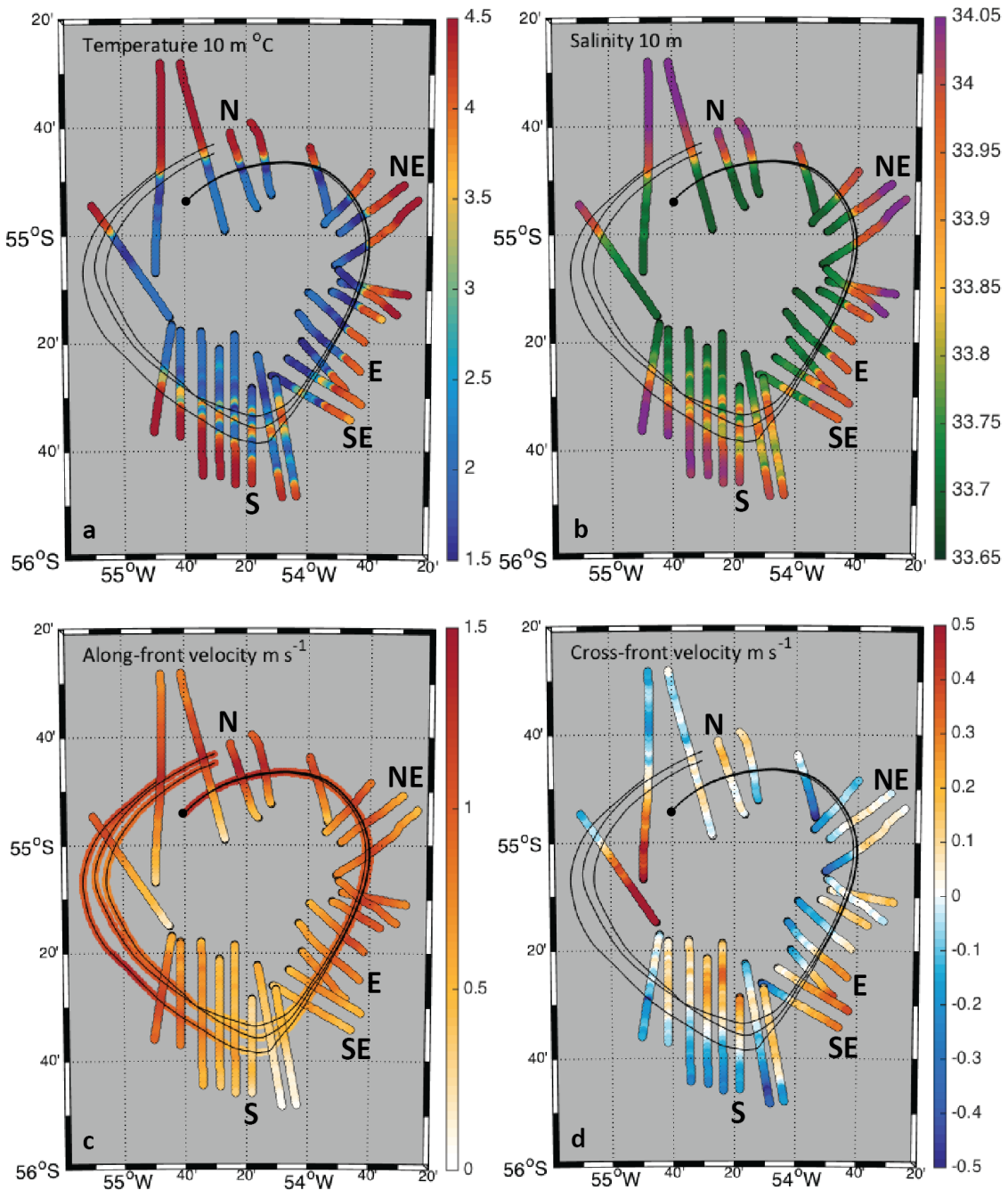


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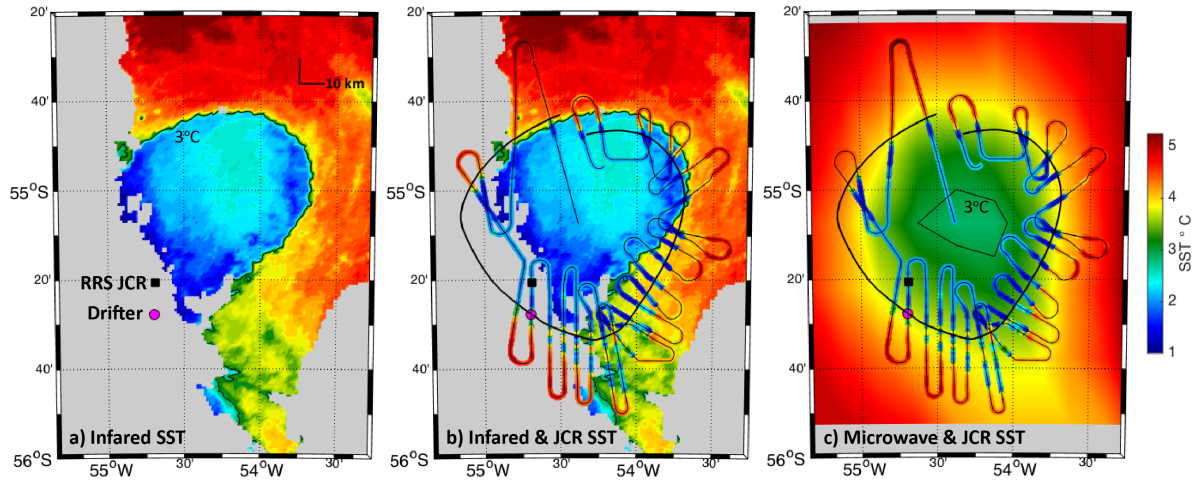


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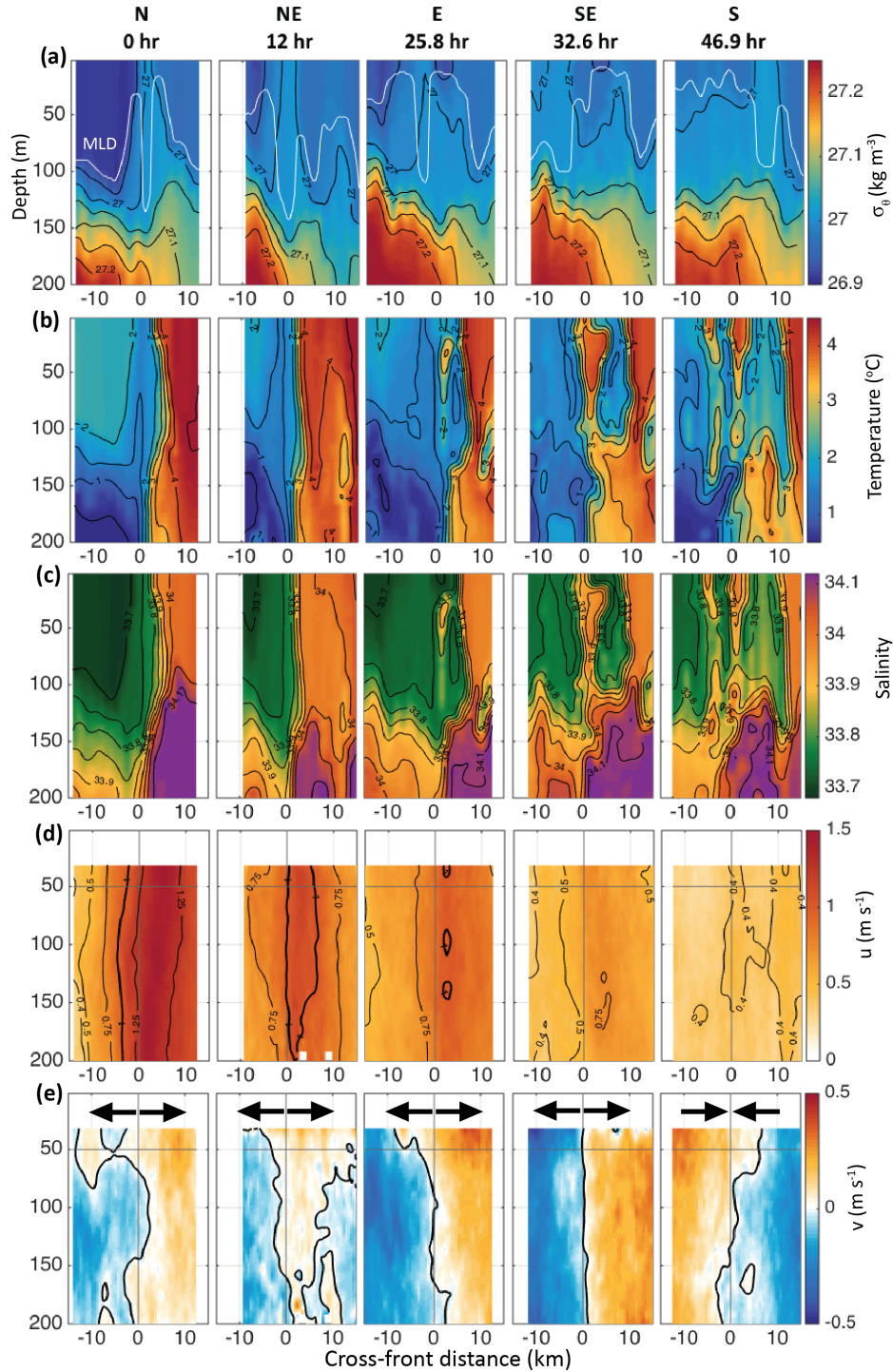


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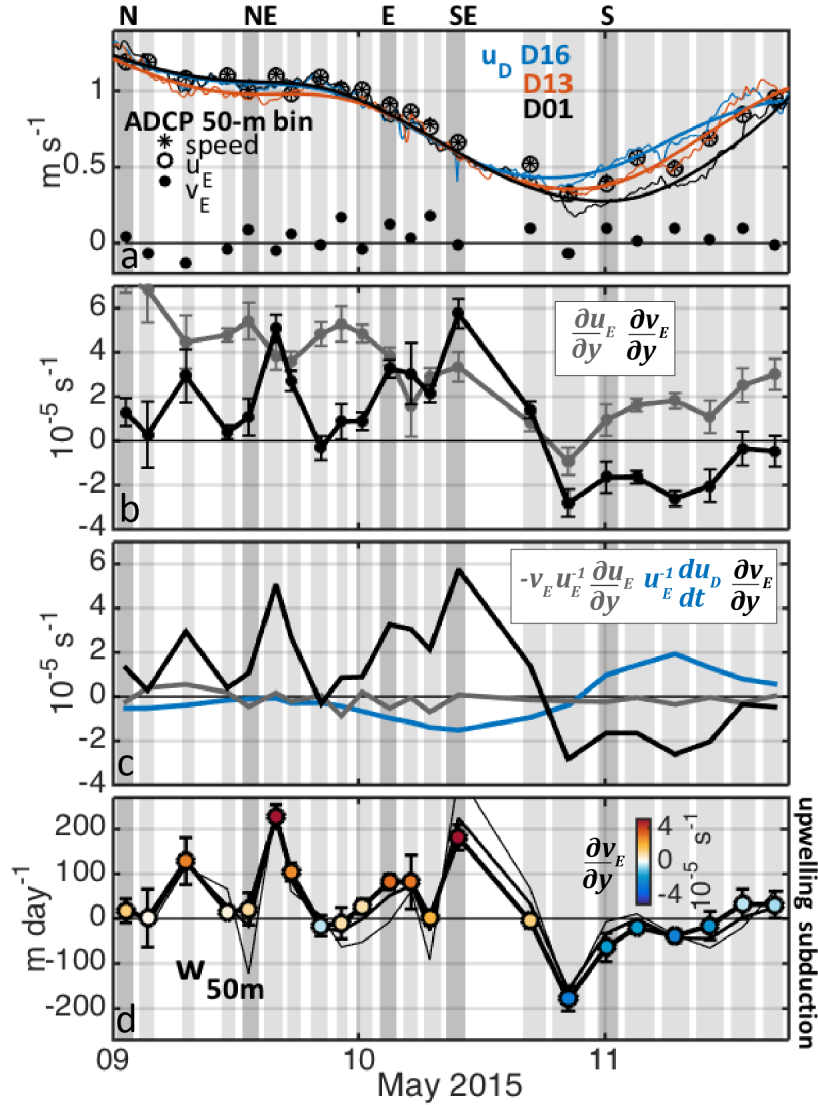


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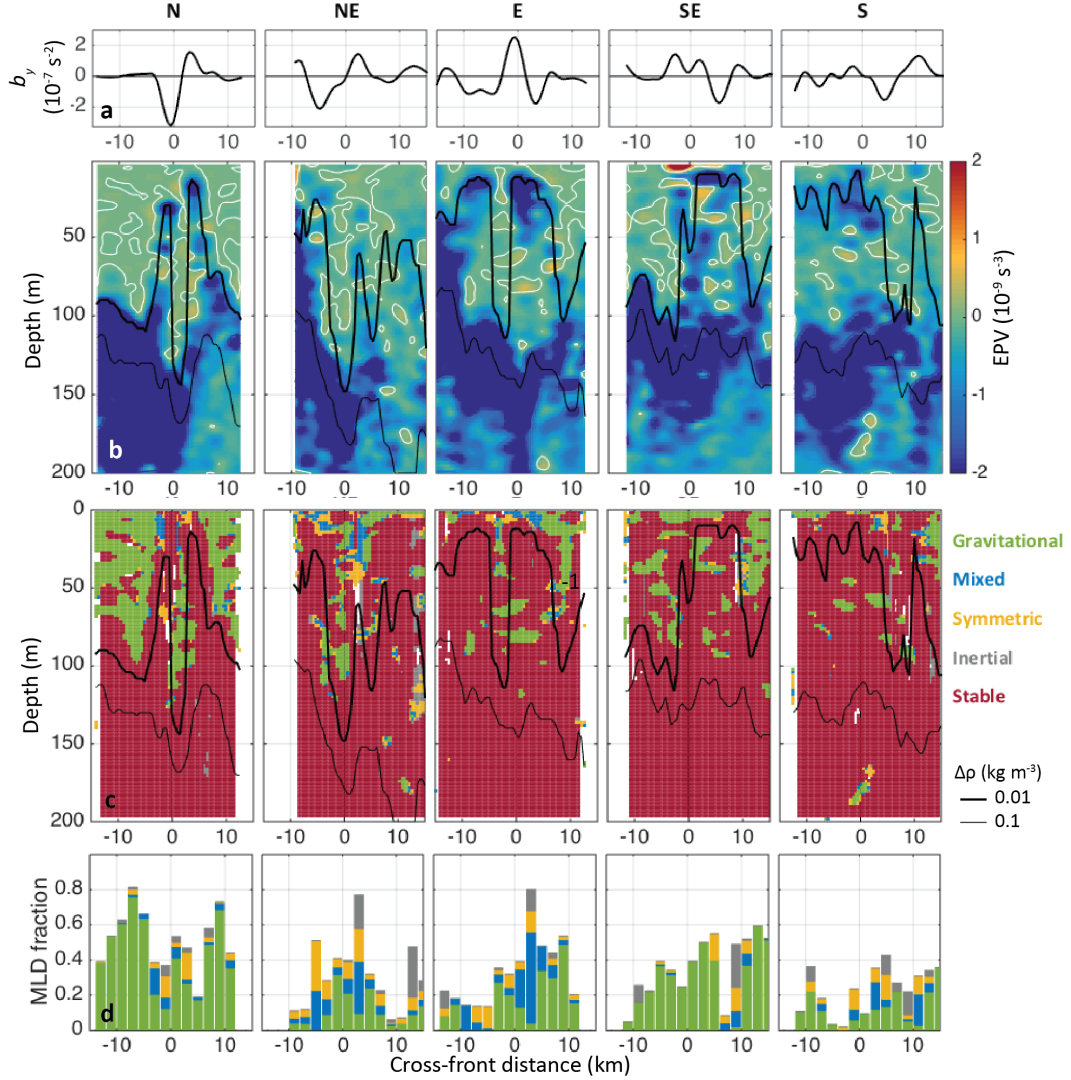


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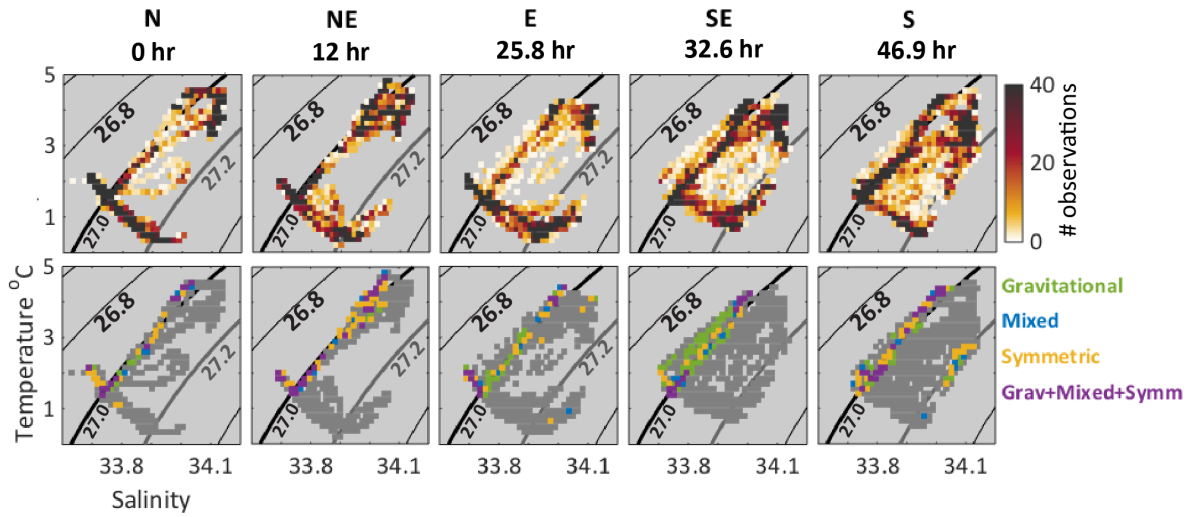


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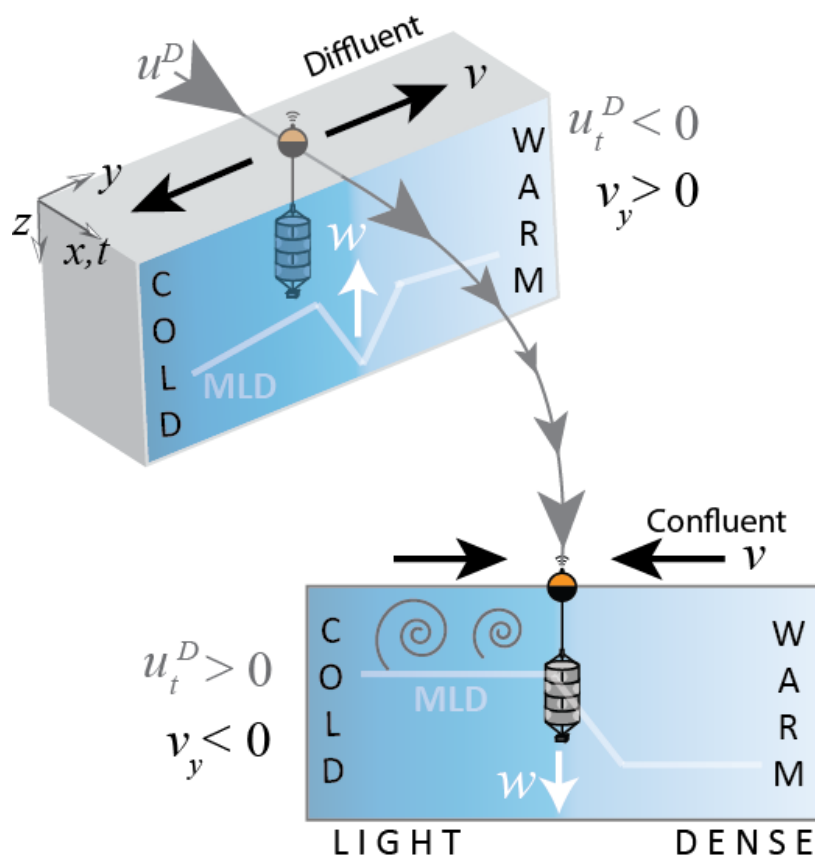


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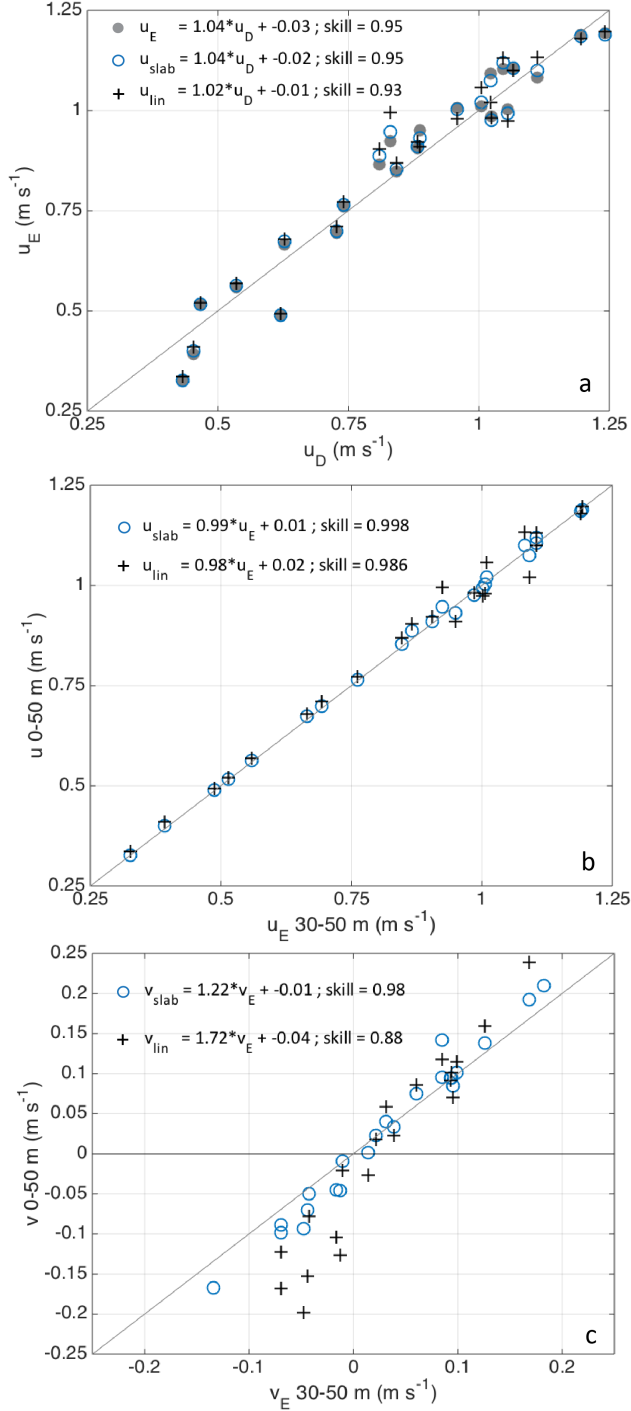


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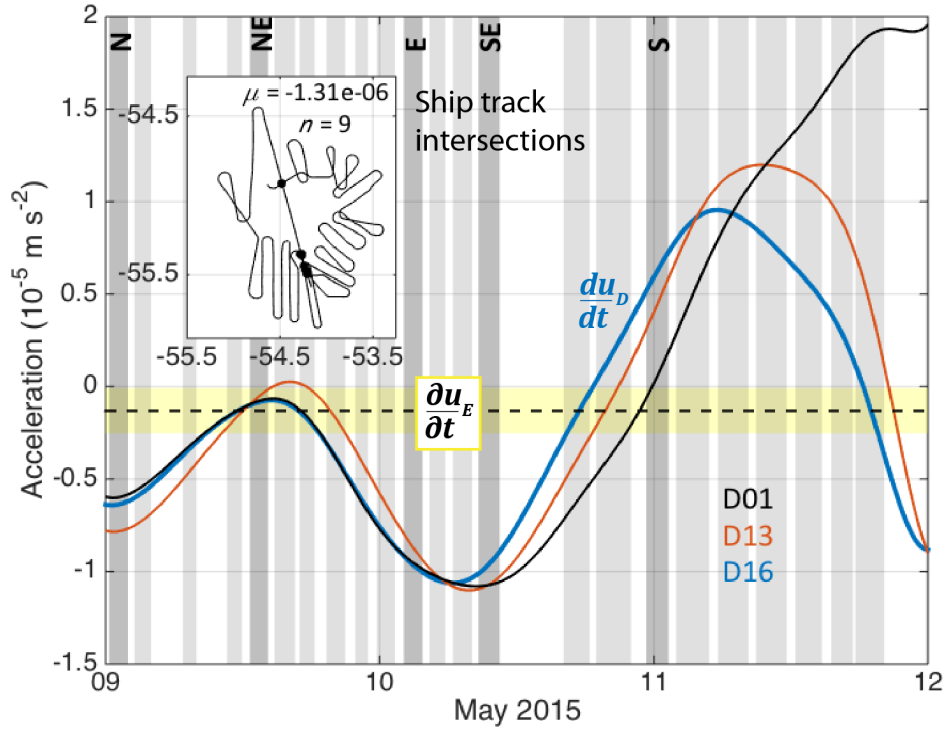


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